

Developing a Holistic Exposure and Adaptation Testing (HEAT) Process for Election Management Bodies

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How can EMBs secure systems from technical vulnerabilities that leave them exposed and may lead to post-election challenges, while at the same time protecting principles of open data and transparency?

Iterative Process

Cyber threats have become an increasing concern since at least the mid-2000s. Main attacks in Europe include:

Estonia 2007: DDoS attack nearly shut down Internet infrastructure

Georgia 2008: cyber attacks in concert with traditional military operations; 11 websites knocked offline prior to Russian invasion

<u>Lithuania 2008</u>: 300 websites vandalized/DoS attack following law prohibiting SU symbols; linked to computers outside the country

<u>Kyrgyzstan 2009</u>: hackers take Kyrgyzstan offline after 10-day DDoS cyber assault, effectively eliminating 80% of the country's online capacity. Analysts felt that this was a 'weapons test'

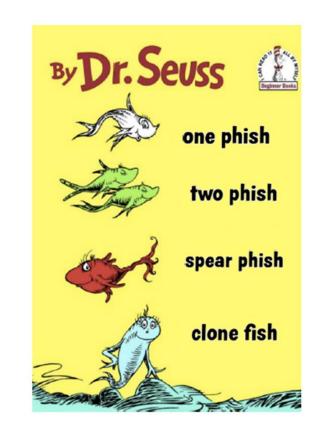
<u>Ukraine 2014</u>: 3-pronged wave of cyber-attacks in presidential vote. CEC website hacked in parliamentary elections. Moscow reports hacked win

- § Cybersecurity should be considered and implemented at the inception phase of building or upgrading any technology-based election system.
- § At the same time, EMBs must act transparently and ensure election results are verifiable. Therefore, it is important to protect both cybersecurity and transparency in the electoral context a challenge that is particularly unique to EMBs.

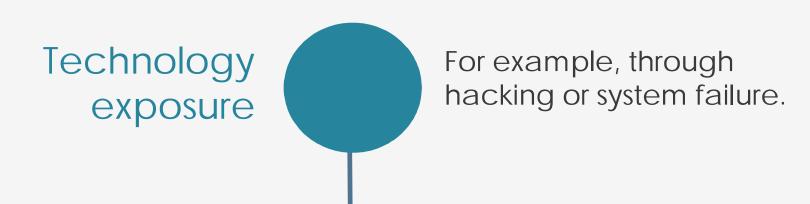
- § Election administrators must focus on cybersecurity as an ongoing and ever-changing concern.
- § While it is important to learn from experience, rapid technological innovation means that EMBs should endeavor to secure the next election, not focus on vulnerabilities in the last election.

- § It is important to look at cybersecurity holistically, as one type of vulnerability may be addressed in isolation while another is exploited instead.
- § Or, different types of cybersecurity exposure may compound to produce a unique vulnerability that can result in significant problems, whether through malpractice (negligence or mistake) or fraud (deliberate exploitation).

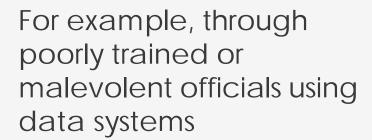
Types of cybersecurity exposure in elections

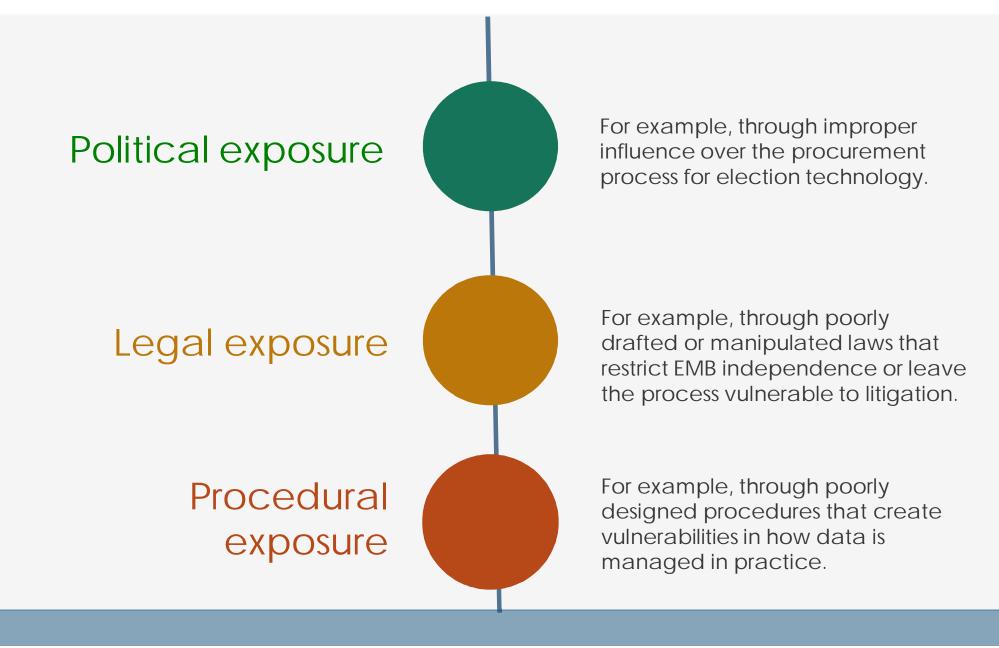


Types of cybersecurity exposure in elections



Human exposure





Holistic Exposure and Adaptation Testing Process (HEAT Process)

Identify

The responsible EMB personnel identifies the election data management technology (or technologies) that should be HEAT tested.

Collect

The responsible EMB personnel collects and collates all relevant information for the HEAT Team and conducts a systems mapping exercise to visualize linkages and information flow between institutions and individuals.

Expose

Using the 5 types of exposure, the IFES HEAT Team tests the technology and the human, legal and procedural framework in which it is deployed, identifying and documenting specific vulnerabilities.

Exploit

Drawing on the specific vulnerabilities identified, the IFES HEAT team guides responsible EMB officials through a tailored election simulation to test EMB responses to specific forms of exploitation.

Adapt

The EMB and IFES HEAT team will jointly identify and prioritize actions to address vulnerabilities that were not satisfactorily mitigatedin the exploitation phase, with the ultimate goal of minimizing levels of exposure across the 5 dimensions.



EMBs should seek to change the optics when introducing technology into the electoral process from a "black box" into a "glass box".